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Docket No.: 101896-0239

(PATENT)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Jonathan Fanger et al.

Application No.: 10/776,414

Filed: February 11, 2004

For: GUIDE FOR SPINAL TOOLS, IMPLANTS,

**AND DEVICES** 

Confirmation No.: 2408

Art Unit: 3738

Examiner: Robert W. Amareld, Jr.

### PETITION TO ADD AN INVENTOR PURSUANT TO 37 C.F.R. § 1.48(a)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

DePuy Spine, Inc., as owner of record of all title, right, and interest in the above-identified application, hereby petitions the Commissioner to amend the above-identified application by adding the name of inventor Glen Arthur Presbrey, a citizen of the United States, whose residence is 574 Victory Highway, Pascoag, RI 02859.

This petition is submitted in accordance with 37 C.F.R. §1.48(a)(1) to convert the above identified application of **Jonathan Fanger and Eric D. Kolb** to an application of **Jonathan Fanger**, **Eric D. Kolb**, and **Glen Arthur Presbrey**. Applicants submit that the three individuals are actual inventors of the invention described and claimed in the application.

Accompanying this petition is a statement by the omitted inventor, Glen Arthur Presbrey, stating the omission was made through error without any deceptive intention, and an executed Declaration and Power of Attorney by the actual inventors, Jonathan Fanger, Eric D. Kolb and Glen Arthur Presbrey, as required under 37 C.F.R. §1.48(a)(2) and 3).

A check in the amount of \$130.00 for the fee set forth in 37 C.F.R. §1.17(i) is submitted herewith, as required under 37 C.F.R. §1.48(a)(4).

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Also submitted herewith is the written consent of the assignee of record to the requested inventorship correction, as required under 37 C.F.R.§1.48(a)(5).

Applicant submits that the foregoing documents meet the requirements of 37 C.F.R. §1.48(a). Accordingly, the correction of inventorship from Jonathan Fanger and Eric D. Kolb to an application of Jonathan Fanger, Eric D. Kolb, and Glen Arthur Presbrey as the actual inventors is respectfully requested.

Dated: May 9, 2006

Respectfully submitted,

Lisa Adams

Registration No.: 44,238

**NUTTER MCCLENNEN & FISH LLP** 

World Trade Center West 155 Seaport Boulevard

Boston, Massachusetts 02210-2604

(617) 439-2000

(617) 310-9000 (Fax) Attorney for Applicant

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: Mary 9

\_\_\_, 2006 Signature:

(Lisa Adams)

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(PATENT)

MAY 1 2 2006

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Examiner: Robert W. Amareld, Jr.

### STATEMENT OF GLEN ARTHUR PRESBREY 37 C.F.R. §1.48(a)(1)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

I, Glen Arthur Presbrey, understand that I was not originally named as an inventor of the above-referenced application, and that I am now being added as an inventor. This error in omitting me as an inventor occurred without any deceptive intent on my part.

Dated: 5-4-06

Glen Arthur Presbrey



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

# GUIDE FOR SPINAL TOOLS, IMPLANTS, AND DEVICES

the specification of which:

was filed on: February 11, 2004

as Application No.: 10/776,414

In the event that the filing date and/or Application No. are not entered above at the time I execute this document, and if such information is deemed necessary, I hereby authorize and request my attorneys/agent(s) at Nutter, McClennen & Fish, LLP, World Trade Center West, 155 Seaport Boulevard, Boston, Massachusetts 02210-2604, to insert above the filing date and/or Application No. of said application.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by an amendment, if any, specifically referred to herein.

I acknowledge the duty to disclose all information known to me that is material to patentability in accordance with Title 37, Code of Federal Regulations, § 1.56.

# CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATION(S)

I hereby claim the benefit under Title 35, United States Code, §120 of the United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose all information that is material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56 which became available to me between the filing date of the prior application and the national or PCT international filing date of this application:

Attorney Docket No.: 101896-239

Application Number	Date of Filing	Status (Patented/Pending/Abandoned)
10/664,575	September 17, 2003	Pending
10/409,958	April 9, 2003	Pending
10/609,123	June 27, 2003	Pending

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint all practitioners at Customer Number 021125, all of Nutter, McClennen & Fish, LLP, World Trade Center West, 155 Seaport Boulevard, Boston, Massachusetts 02210-2604, jointly, and each of them severally, my attorneys at law/patent agent(s), with full power of substitution, delegation and revocation, to prosecute this application, to make alterations and amendments therein, to receive the patent, and to transact all business in the U. S. Patent and Trademark Office connected therewith.

Please mail all correspondence to Lisa Adams at Customer Number 021125, whose address is:

Nutter, McClennen & Fish, LLP World Trade Center West 155 Seaport Boulevard Boston, Massachusetts 02210-2604

Please direct telephone calls to: Lisa Adams at (617) 439-2550

Please direct facsimiles to: Lisa Adams at (617) 310-9550

FULL NAME OF FIRST INVENTOR:	
Jonathan Fanger	
FIRST INVENTOR'S SIGNATURE	DATE 5/4/06
RESIDENCE	7-7-1
Fall River, Massachusetts	
CITIZENSHIP US	
MAILING ADDRESS	
3H Rolling Green Fall River, Massachusetts 02720	

Attorney Docket No.: 101896-239

FULL NAME OF SECOND INVENTOR:	
Eric D. Kolb	
SECOND INVENTOR'S SIGNATURE	DATE 5/3/06
RESIDENCE	
Quincy, Massachusetts	
CITIZENSHIP US	
MAILING ADDRESS	
308 Quarry Street	
Quincy, Massachusetts 02169	
FULL NAME OF THIRD INVENTOR:	
Glen Arthur Presbrey	
SECOND INVENTOR'S SIGNATURE  Signature  RESIDENCE  RESIDENCE	DATE 5-4-06
Rhode Island	
CITIZENSHIP US	
MAILING ADDRESS	
574 Victory Highway	
Pascoag, RI 02859	

Docket No.: 101896-0239

(PATENT)

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Application No.: 10/776,414

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For: GUIDE FOR SPINAL TOOLS, IMPLANTS,

AND DEVICES

Confirmation No.: 2408

Art Unit: 3738

Examiner: Robert W. Amareld, Jr.

# ASSIGNEE CONSENT TO CORRECT INVENTORSHIP UNDER 37 C.F.R. 1.48

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

DePuy Spine, Inc., as owner of record of all title, right, and interest in the above-identified application, hereby consents to the addition of Glen Arthur Presbrey as an inventor in the above-referenced patent application. DePuy Spine, Inc. is the owner of record of all title, right, and interest in the above-referenced patent application by virtue of an assignment from inventors Jonathan Fanger and Eric D. Kolb to DePuy Spine, Inc., which was recorded in the U.S. Patent and Trademark Office on May 7, 2004, at Reel 015300, Frame 0989.

The undersigned is fully authorized to execute this document on behalf of DePuy Spine, Inc.

5/5/06

Date

David A. Lane

**Assistant Secretary** 



### **Application Information**

Application number:: 10/776,414

Filing Date:: 02/11/04

Application Type:: Regular

Subject Matter:: Utility

Suggested Group Art Unit:: 3738

CD-ROM or CD-R?:: None

Sequence submission?:: None

Computer Readable Form (CRF)?:: No

Title:: GUIDE FOR SPINAL TOOLS, IMPLANTS,

AND DEVICES

Attorney Docket Number:: 101896-0239

Request for Early Publication?:: No

Request for Non-Publication?:: No

Total Drawing Sheets:: 11

Small Entity?:: No

Petition included?::

Secrecy Order in Parent Appl.?:: No

### **Applicant Information**

Applicant Authority Type:: Inventor

Primary Citizenship Country:: US

Status:: Full Capacity

Given Name:: Jonathan

Family Name:: Fanger

City of Residence:: Fall River

State or Province of Residence:: MA

Country of Residence:: US

Street of mailing address:: 3H Rolling Green Drive

City of mailing address:: Fall River

State or Province of mailing address:: MA

Postal or Zip Code of mailing address:: 02720

Applicant Authority Type:: Inventor

Primary Citizenship Country:: US

Status:: Full Capacity

Given Name:: Eric

Middle Name:: D.

Family Name:: Kolb

City of Residence:: Milton

State or Province of Residence:: MA

Country of Residence:: US

Street of mailing address:: 570 Pleasant Street

City of mailing address:: Milton

State or Province of mailing address:: MA

Postal or Zip Code of mailing address:: 02186

Applicant Authority Type:: Inventor

Primary Citizenship Country:: US

Status:: Full Capacity

Given Name:: Glen

Middle Name:: Arthur

Family Name:: Presbrey

City of Residence:: Pascoag

State or Province of Residence:: RI

Country of Residence:: US

Street of mailing address:: 574 Victory Highway

City of mailing address:: Pascoag

State or Province of mailing address:: RI

Postal or Zip Code of mailing address:: 02859

#### **Correspondence Information**

Correspondence Customer Number:: 021125

**Representative Information** 

Representative Customer Number:: 021125

# **Domestic Priority Information**

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This Application	Continuation-in-part of	10/664,575	09/17/03
10/664,575	Continuation-in-part of	10/409,958	04/09/03
10/664,575	Continuation-in-part of	10/609,123	06/27/03

# **Foreign Priority Information**

# **Assignee Information**

Assignee name:: DePuy Spine, Inc.

Street of mailing address:: 325 Paramount Drive

City of mailing address:: Raynham

State or Province of mailing address:: MA

Postal or Zip Code of mailing address:: 02767

PTO/SB/17 (12-04v2)
Approved for use through 7/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).			J-					
			Application Number		10/776,414-Conf. #2408			
FEE TRANSMITTAL		Filing Date		February 11, 2004				
For FY 2005		First Named Inventor		Jonathan Fanger				
F01 F1 2005		Examiner Name		R. W. Amareld				
Applicant claims small entity status. See 37 CFR 1.27		Art Unit		3738				
TOTAL AMOU	TOTAL AMOUNT OF PAYMENT (\$) 130.00			Attorney Docket No. 101896-0239		-		
METHOD OF	F PAYMENT (check	all that apply)						
x Check	Credit Card	Money Order	Non	<u> </u>	please ident			
Deposit A	ccount Deposit Account N	lumber: 141449 De	eposit Acco	unt Name:	Nutter	McClennen 8	Fish LLP	·
For the	above-identified depo	sit account, the Dir	ector is	hereby authorize	ed to: (chec	k all that apply)	+	
c	charge fee(s) indicated	below		Charg	e fee(s) ind	licated below, e	xcept for t	he filing fee
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FEE CALCU	LATION							
1. BASIC FILIN	IG, SEARCH, AND EX							
	FIL	ING FEES Small Entity	SEA	RCH FEES Small Entity	EXAMIN	IATION FEES	;	
Application T	ype Fee (\$)		Fee (\$)		Fee (\$)	Small Entity Fee (\$)	Fees	Paid (\$)
Utility	300	150	500	250	200	100		
Design	200	100	100	50	130	65		
Plant	200	100	300	150	160	80		
Reissue	300	150	500	250	600	300		
Provisional	200	100	0	0	0	0		
2. EXCESS CL	AIM FEES							Small Entity
Fee Description							Fee (\$)	<u>Fee (\$)</u>
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Indep. Claims	Extra Claims	Fee (\$)	Fee Pa	aid (\$)				
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3. APPLICATIO								
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4. OTHER FEE	 (S)			•	,		Fees	Paid (\$)
Petition to A	add Inventor, \$130 fe	e						0.00
Other (e.g.,	late filing surcharge):							
SUBMITTED BY								
Signature	72.	2-1-		Registration No. Attorney/Agent)	44,238	Telephone	(617) 43	9-2000
Name (Print/Type)	Lisa Adams			gom		Date	May 9,	2006
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	Fee Transmittal
I hereby certify that this paper (along with any paper refe	erred to as being attached or enclosed) is being deposited with the U.S. Postal Service on
the date shown below with sufficient postage as First CI	lass Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450,
Alexandria, VA 22313-1450.	
Dated: May 9, 2006	Signature: (Lisa Adams)